



## Enhancing liquid hot water (LHW) pretreatment of sugarcane bagasse by high pressure carbon dioxide (HP-CO<sub>2</sub>)



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### ABSTRACT

Liquid hot water (LHW) pretreatment associated with high pressure carbon dioxide (HP-CO<sub>2</sub>) was evaluated as a potential green pretreatment technology for extraction of hemicelluloses from depithed sugarcane bagasse to produce fermentable sugars. Developing a technology based on the use of low cost, non-corrosive, and recoverable chemicals as CO<sub>2</sub> can result in a more efficient and economic process. In this study, depithed sugarcane bagasse was treated with LHW and HP-CO<sub>2</sub> at milder temperatures in comparison with LHW pretreatment alone. To assess the effects of varying pretreatment operational conditions on extraction of xylo-oligosaccharides and xylose release with cellulose preservation a central composite design (CCD) of experiments was used. The pretreatments were carried out at temperatures ranging from 93.8 °C (8.62 MPa) to 136.2 °C (12.96 MPa) and times from 17.6 to 102.4 min with a liquid-to-solid ratio of 12:1. The maximum xylan and xylose concentrations were achieved by treating depithed bagasse at 100 °C for 30 min and 115 °C for 60 min, respectively. At these conditions the amount of xylan equivalent ranged 10–12 g/L. At 115 °C for 60 min, the cellulose preservation achieved 97.2%. The obtained results showed that HP-CO<sub>2</sub> proved to be an efficient hydrolysis agent. Samples of LHW-HP-CO<sub>2</sub> pretreated bagasse were tested for enzymatic digestibility. Depithed bagasse pretreated at 115 °C for 60 min after enzymatic hydrolysis had a glucose yield of 30.43 g/L and a cellulose conversion of 41.17%.

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### 1. Introduction

Since the introduction of the Kyoto Protocol in 1997, a worldwide concern about climate change and its impact on global warming has motivated unprecedented discussions on energy sustainability (Matsuoka et al., 2010). It is generally agreed that the current energy resources, largely based on fossil fuels, are not sustainable for the long term (Chu et al., 2007; FAO, 2008). A global effort to develop sustainable energy sources is urgent in order to both preserve the natural resources and mitigate the effects of CO<sub>2</sub> emissions (Fischer et al., 2008). Currently, fossil fuels, represented

by oil, coal, and natural gas, meet more than 80% of global primary energy demand, while renewable sources represent around 13%, of which biomass contributes 10% (FAO, 2008). However, the Brazilian example of producing first generation sugarcane ethanol as a liquid transportation fuel has shown that dedicated renewable biomass crops will make a significant contribution to the world's energy needs and, at the same time, contribute to reducing the CO<sub>2</sub> and other greenhouse gas emissions (FAO, 2008; Matsuoka et al., 2010).

Nowadays, as a consequence of first generation ethanol production, sugarcane bagasse is the most abundant agricultural residue in Brazil. According to last national crop survey released by the Brazilian National Company of Supply (CONAB, 2012), the estimated crop during 2012/2013 season is projected to reach 602.2 million tons, a 5.4% raise relative to the 2011/2012 season. This harvest will produce about 90.3–150.6 million tons of bagasse, given that one ton of sugarcane produces 150–250 kg of bagasse. Bagasse is mainly composed of cellulose (40–45%), hemicelluloses

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(30–35%), and lignin (20–30%) (Vallejos et al., 2012). The minor constituents are extractives and inorganic compounds. In the sugar and ethanol plants, bagasse is mainly burned, which provides steam for the boilers and bioelectricity, being that the latter is sold to the electricity companies and contributes to overall economic feasibility of the process. However, for the small sugar and ethanol plants selling electricity is not feasible due to high investments in boilers. Moreover, the use of sugarcane bagasse in the small sugar and ethanol plants can enhance the production of liquid fuels and also allow the production of high-value products in a biorefinery.

Within this present perspective, the great challenge is to find and investigate a viable technology in terms of productivity and cost in order to convert the sugarcane bagasse polysaccharides in fermentable sugars. As well-known, the limiting factor in using any type of biomass is its recalcitrance due in part to the presence of lignin and crystallinity of cellulose, which difficult the hydrolysis of the raw material into fermentable sugars. Once this step successful the fermentable sugars can then be biologically converted into myriad of fuels and chemicals (Alonso et al., 2010; Lynd et al., 2001; Pu et al., 2013).

The branched structure of hemicelluloses renders it more easily hydrolyzed than cellulose (Palmqvist and Hahn-Hagerdal, 2000b), which is composed by amorphous and crystalline fractions and presents a higher degree of polymerization than hemicelluloses. In sugarcane bagasse, hemicelluloses are mainly constituted by pentosan macromolecules, i.e. xylans and arabinans. According to Vallejos et al. (2012), although hemicelluloses can be easily extracted, at the present moment, their potential for conversion into high-value products has not been developed in a commercial scale, except for the production of furfural.

Hemicelluloses extraction and their subsequent transformation into high-value products could improve the economic feasibility of processes such as first and second generation ethanol, pulp and papermaking, and the viability of a project for a lignocellulosic feedstock biorefinery (LCF-biorefinery) (Kamm and Kamm, 2004; Vallejos et al., 2012). Hemicelluloses can be used directly in oligomer form, i.e. xylo-oligosaccharides (XOS), in the case of sugarcane bagasse as feedstock, for novel industrial applications such as poly(3-hydroxybutyrate) (Lee, 1998), cationic biopolymers (Ebringerova et al., 1994), hydrogels (Gabrieli et al., 2000), thermoplastic xylan derivatives (Jain et al., 2000), or as source of sugars for fermentation to fuels, such as ethanol (Alonso et al., 2010; Gírio et al., 2010), or chemicals, such xylitol (Gullón et al., 2012), lactic (precursor of polylactic acid, PLA) (Gullón et al., 2012), maleic/fumaric and succinic acids (Bajpai, 2011; Borges and Pereira, 2011).

For second generation ethanol process, a step of hemicelluloses extraction is necessary to diminish the recalcitrance of the biomass since hemicelluloses play an important role in the organization of the cell wall and it also acts as physical barriers to limit the accessibility of cellulose by the hydrolytic enzymes (Zhao et al., 2012). However, the problem is that the pretreatment step is considered as an expensive step, representing 20% of the total cost, and advancing in pretreatment knowledge could help to define the cost at which cellulose will be converted to ethanol and chemicals, and accelerates the future commercial applications (Vallejos et al., 2012; Yang and Wyman, 2008).

A cost effective pretreatment requires the use of low cost, non-corrosive, and recoverable chemicals, low energy and water consumption, high yields for its purpose, low concentration of inhibition products (furans and phenolic compounds), and applicability to several types of lignocellulosic feedstocks (Alvira et al., 2010; Gírio et al., 2010; Vallejos et al., 2012). At the present moment, considering the state of the art, several types of pretreatments have been reported in the literature (Alvira et al., 2010; Gírio et al., 2010;

Vallejos et al., 2012), however is not clear which technology is more appropriated to be implemented.

Liquid hot water pretreatment (LHW) has a great potential to be chosen as a pretreatment step in processes such as pulping and in future lignocellulosic feedstock biorefinery as it can be considered as a green technology (Carvalho et al., 2008; Gullón et al., 2012). LHW operates with pressurized liquid hot water in temperatures that usually range from 150 °C to 230 °C (Garrote et al., 1999), liquid-to-solid ratio (LSR) from 2 to 100 (w/w) (Garrote et al., 1999; van Walsum, 2001), and reaction times from seconds to hours, depending on the reaction temperature. This pretreatment also allows achieving relatively high hemicelluloses recovery from 55 to 84% combined with low levels of inhibitory by products (Gírio et al., 2010).

Recently, van Walsum (2001), van Walsum and Shi (2004), and McWilliams and van Walsum (2002) have studied a new type of pretreatment that may offer benefits of acid catalysis without disadvantages of mineral acids. This pretreatment is based on the use of pressurized liquid hot water (LHW) and carbon dioxide (CO<sub>2</sub>). Pressurized carbon dioxide in contact with water yields carbonic acid that can be used as a hydrolysis agent. Carbonic acid can be an attractive catalyst and preferable to stronger mineral acids because of its reduced corrosion and neutralization requirements since it can be neutralized by releasing the reactor pressure and easily recovered. Furthermore, carbon dioxide is available at no cost, because it is a byproduct of the fermentation process used for conversion of biomass to other products (McWilliams and van Walsum, 2002; van Walsum, 2001; van Walsum and Shi, 2004). In comparison with sulfuric acid, carbonic acid is relatively mild and therefore does not offer the same hydrolytic capability. However, van Walsum (2001) has confirmed that at temperatures on the order of 200 °C, carbonic acid does show a catalytic effect on hydrolysis of xylan. van Walsum and Shi (2004) also reported an improved release of xylose and low degree of polymerization xylo-oligosaccharides (XOS) compared to pretreatment using liquid hot water only.

Up to now, as mentioned earlier, few studies focused on the use of carbonic acid as hydrolyzing agent for releasing fermentable sugars from biomass were reported in the literature. Consequently, the information that is available about the potential of carbon dioxide as a candidate to replace the mineral acids in the biomass pretreatment is limited. The use of carbon dioxide allied to liquid hot water should also be taken into consideration and optimized because it provides a simple means of minimizing the drawbacks of mineral acids and even improving the reaction kinetics in relation to autocatalysis from LHW.

This study aims to investigate the effectiveness of liquid hot water (LHW) pretreatment associated with high pressure carbon dioxide (HP-CO<sub>2</sub>) on the releasing of xylo-oligosaccharides and xylose from depithed sugarcane bagasse. LHW-HP-CO<sub>2</sub> pretreatment of sugarcane bagasse was tested at milder temperatures (from 93.8 to 136.2 °C). A central composite design (CCD) was used for exploring a wide range of reaction conditions and optimizes the process parameters. Furthermore, the use of residues from LHW-HP-CO<sub>2</sub> pretreatment to produce fermentable sugars by enzymatic hydrolysis was evaluated. The yields were also compared with available literature data dealing with HP-CO<sub>2</sub> pretreatment for this purpose.

## 2. Experimental

### 2.1. Chemicals

Cyclohexane and ethanol (99.5%) were purchased from Synth (Brazil). Sulfuric acid (95–98%) was purchased from Qhemis

(Brazil). Grade carbon dioxide (99.99%) was purchased from White Martins Praxair Technology Inc (Brazil). Chromatography standards cellobiose, D-glucose, D-xylose, L-arabinose, acetic acid, formic acid (49–51%), 5-hydroxymethyl-2-furfuraldehyde (HMF), and 2-furfuraldehyde (furfural) were purchased from Sigma–Aldrich. Commercial cellulase (Celluclast 1.5L) and  $\beta$ -glucosidase (Novozym 188) were purchased from Novozymes Latin America Ltd.

## 2.2. Sugarcane bagasse preparation

Sugarcane bagasse was provided by Ipiranga ethanol and sugar company, Descalvado, São Paulo, Brazil. Shortly after being collected, raw bagasse was suspended in water at 70 °C and mechanically stirred for 1 h with the aim of removing sugars remaining after the milling process. Then, hot washed sugarcane bagasse portions were subjected to a wet depithing procedure to separate fiber bundles and pith fractions. This procedure consists in applying a continuous water flow to force the pith fraction to pass through a 16 mesh (1.19 mm) screen while fiber bundles fraction is retained on this screen. Then, depithed bagasse was air-dried under room conditions until final moisture content achieved less than 10% of dry weight.

## 2.3. Liquid hot water pretreatment associated with HP-CO<sub>2</sub> (LHW-HP-CO<sub>2</sub>)

The hydrothermal hydrolysis of depithed sugarcane bagasse was performed in a flow-through supercritical extraction system (model SFT-250, Supercritical Fluid Technologies, Inc.) equipped with a 100 mL stainless steel reactor. The equipment was designed to operate with pressures up to 60 MPa and temperatures up to 300 °C. The pretreatment conditions comprised a set of experiments with temperature range from 93.8 to 136.2 °C and a reaction time from 17.6 to 102.4 min. The reactor was loaded with 6.63 g of depithed bagasse containing 9.5% moisture content and 71.4 mL of distilled water. The water content to be added to the reactor was calculated considering the moisture of depithed bagasse to give a liquid-to-solid ratio (*LSR*) of 12:1. Once the reactor was loaded with depithed bagasse and distilled water, it is closed and pressurized with carbon dioxide to the CO<sub>2</sub> cylinder pressure at 25 °C (6.8 MPa). The purge was done through the restrictor valve to ensure that only liquid carbon dioxide was present. After that, the heating was turned on, and at desired reaction temperature, the reaction time was recorded. The pressure will increase with the vessel warming until the desired temperature is attained. At the minimum (93.8 °C) and maximum (136.2 °C) temperatures the minimum and maximum pressures attained were 8.62 MPa and 12.96 MPa, respectively. At the end of each experiment, the heating was stopped and the reactor was cooled to the room temperature with the aid of a fan. Then, the reactor was depressurized, opened, and liquid and solid fractions were recovered by filtration in a Büchner funnel. Finally, an aliquot of the liquid fraction was collected to be analyzed by high performance liquid chromatography (HPLC) and the solid residue was washed with distilled water and air-dried at room conditions until final moisture content achieved less than 10% of dry weight. The weight loss percentage on dry basis after pretreatment was calculated by dividing the weight of pretreated bagasse by untreated bagasse.

Aliquots of the liquid fractions were filtered and subsequently diluted prior to HPLC analyses to determine monosaccharides (glucose, xylose, and arabinose), organic acids (formic and acetic acid), and sugar decomposition products (furfural and HMF) according to NREL (National Renewable Energy Laboratory) Laboratory Analytical Procedure LAP-015 (“HPLC analysis of liquid fractions of process samples for by-products and degradation products”). The

oligomers present in the liquid fractions were post-hydrolyzed. In this procedure, aliquots of liquid fractions were diluted tenfold in 4 wt% H<sub>2</sub>SO<sub>4</sub> solution, transferred to sealed bottles, and heated to 121 ± 3 °C for 1 h in an autoclave. The post-hydrolyzed liquid fractions were again analyzed by HPLC to determine monosaccharides, organic acids, and sugar degradation products according to NREL LAP-015.

## 2.4. Analytical procedures

A sample was used to determine the inorganic content of depithed sugarcane bagasse in accordance with TAPPI (Technical Association of the Pulp and Paper) test methods for ash TAPPI T211 om-02 (“Ash in wood, pulp, paper and paperboard”). Another sample, oven dried (12 g), was milled to pass a 0.40 mm (40 mesh) screen for quantitative determination of extractives in depithed bagasse by Soxhlet extraction apparatus in cyclohexane:ethanol (1:1, v/v, 500 mL) for 48 h (Vallejos et al., 2012). The boiling rate was adjusted for not less than 4 cycles over a 1 h period. Extractives free sample was used to determine acid-insoluble lignin in accordance with TAPPI T222 om-02 (“Acid-insoluble lignin in wood and pulp”). The determination of acid-soluble lignin was made in accordance with NREL LAP-004 (“Determination of acid-insoluble lignin in Biomass”). Carbohydrates (cellobiose, glucose, xylose, and arabinose), organic acids (formic and acetic acids), and carbohydrates degradation products (furfural and HMF) present in the hydrolysate from acid-insoluble lignin test were quantified by HPLC analysis to determine the content of cellulose (glucan) and hemicelluloses (xylan, arabinan, and acetyl groups) in agreement with NREL LAP-002 (“Determination of carbohydrates in biomass by high performance liquid chromatography”).

The concentration of carbohydrates, organic acids, and sugar degradation products in the hydrolysates were determined by HPLC on a Shimadzu CR-7A Chromatograph equipped with a pump (LC-10AD), a system controller (SCL-10A), a refractive index detector (RID-6A), an UV-Vis detector (SPD-10A) set at 274 nm, and an oven (CTO-10A). Cellobiose, glucose, xylose, arabinose, formic acid, and acetic acid were separated on a Bio-Rad Aminex HPX-87H column (300 mm × 7.8 mm) at 45 °C with 0.005 mol/L H<sub>2</sub>SO<sub>4</sub> as the eluent at a flow rate of 0.6 mL/min. Furfural and HMF were separated on an RP 18 (C<sub>18</sub>) Hewlett-Packard column (200 mm) at 25 °C with acetonitrile:water (1:8) with 1% acetic acid as the eluent at a flow rate of 0.8 mL/min. Following conversion factors in parenthesis were used to convert cellobiose (0.95) and glucose (0.90) to glucan, xylose (0.88) and arabinose (0.88) to xylan and arabinan, acetic acid (0.717) to acetyl group, furfural (1.375) and HMF (1.286) to glucan and xylan equivalents, respectively (Novo et al., 2011; Vallejos et al., 2012).

## 2.5. Statistical design of experiments

The parameters chosen to study the liquid hot water pretreatment (LHW) associated with HP-CO<sub>2</sub> were temperature (*T*, °C) and time (*t*, min). The liquid-to-solid ratio (*LSR*) was kept constant (12:1) as well as the H<sub>2</sub>O/CO<sub>2</sub> (7:3) ratio in the vessel. The former was designedly used to ensure the presence of water supernatant in relation to depithed bagasse. A 2<sup>2</sup> full center composite design (CCD) was chosen to study two independent variables, which resulted in 4 typical runs (*n<sub>F</sub>*), 4 axial runs (2<sup>*k*</sup>), and 3 central runs (*n<sub>c</sub>*), being the latter for experimental error evaluation. The axial runs are at a distance of  $\sigma = \pm n_F^{1/4}$  (±1.414) from the central run. Number of experiments (*N*) can be calculated from Eq. (1) as follows:

$$N = n_F + 2^k + n_c = 4 + 2^2 + 3 = 11 \quad (1)$$

Experimental results were evaluated with STATISTICA 10.0 (StatSoft, Inc.) routines for regression coefficients and graphical analysis. Pure error was chosen with the aim of analyzing the experimental error and the most complex model linear/quadratic main effects + 2-ways was used.

Regression model, in terms of coded variable levels ( $-1, 0, +1$ , and  $\pm 1.414$ ), is a second degree polynomial equation for each dependent variable as follows:

$$DV = a_0 + a_1T + a_2T^2 + a_3t + a_4t^2 + a_5Tt \quad (2)$$

where  $DV$  is the dependent variable (predict response) and  $a_0, a_1, a_2, a_3, a_4$ , and  $a_5$  are the estimate effects obtained by fitting experimental data,  $T$  ( $^{\circ}\text{C}$ ) is the temperature, and  $t$  (min) is the time of reaction.

Dependent variables ( $DV$ ) chosen for statistical analysis were: weight loss of depithed bagasse after LHW associated with HP- $\text{CO}_2$ , xylose ( $Xyl$ ), xylan ( $Xyn$ ), total xylan ( $TXyn$ ), acetic acid ( $AAC$ ), xylose plus arabinose ( $Xyl+Ara$ ), arabinose ( $Ara$ ), arabinan ( $Arn$ ), glucose ( $Glu$ ), glucan ( $Gln$ ), furfural ( $Fur$ ), acetyl ( $Ac$ ), acetyl removal or deacetylation ( $DeAc$ ), lignin and xylan removal ( $Xynr$ ). Through ANOVA table results the relevance of linear and quadratic terms for the two parameters was evaluated as well as the lack of fit and determination coefficients ( $R^2$ ) for each dependent variable ( $DV$ ).

## 2.6. Enzymatic hydrolysis of LHW-HP- $\text{CO}_2$ pretreated bagasse

Enzymatic hydrolysis tests of residual depithed bagasse from hot water pretreatment associated with HP- $\text{CO}_2$  were performed for samples from different pretreatment reaction conditions in order to determine their enzymatic digestibility. Enzymatic digestibility tests were carried out using commercial cellulase (Celluclast 1.5 L) supplemented with  $\beta$ -glucosidase (Novozym 188). Enzymatic hydrolysis were performed at solid concentration of 10% (w/v) in 0.05 mol/L citrate buffer, pH 4.8, using Erlenmeyer flasks with incubation at  $50 \pm 1$   $^{\circ}\text{C}$  in a shaker at 150 rpm for 72 h with total liquid volume of 15 mL. The enzyme mixture doses were cellulase at 10 FPU/g of dry pretreated bagasse and  $\beta$ -glucosidase at 20 UI/g of dry pretreated bagasse. After enzymatic hydrolysis reaction, the Erlenmeyer flasks were cooled in an ice bath in order to stop the enzymatic activity. After that, the flasks were centrifuged for 5 min, and the supernatant analyzed by HPLC for determination of glucose concentration. The glucose concentration was used for calculation the enzymatic conversion of cellulose according the following expression (Rocha et al., 2013):

$$CC = \left( \frac{m_{\text{glucose}} \times f_h}{m_{\text{initial}} \times y_i} \right) \times 100 \quad (3)$$

where  $CC$  is the enzymatic conversion of cellulose (%),  $m_{\text{glucose}}$  is the glucose mass in the enzymatic hydrolysate (g),  $m_{\text{initial}}$  is the initial dry mass of LHW-HP- $\text{CO}_2$  pretreated bagasse sample (g),  $y_i$  is the glucan content in the pretreated bagasse sample (%) (see Table 1),  $f_h$  is the conversion factor taking into account water addition upon hydrolysis ( $f_h = 0.9$  for glucose to glucan).

## 3. Results and discussion

### 3.1. LHW-HP- $\text{CO}_2$ pretreatment of depithed bagasse

The depithed sugarcane bagasse used in this study was composed by glucan ( $43.4 \pm 0.3\%$ ), xylan ( $25.2 \pm 0.3\%$ ), arabinan ( $2.8 \pm 0.0\%$ ), acetyl groups ( $4.2 \pm 0.6\%$ ), lignin ( $22.0 \pm 0.1\%$ ), extractives ( $1.6 \pm 0.1\%$ ), and inorganics ( $0.5 \pm 0.0\%$ ) on dry weight basis (wt%). The polysaccharide content in depithed bagasse was  $75.6 \pm 0.9\%$ , including acetyl groups linked to the branched chains of hemicelluloses. Xylan was found as the major component

of depithed bagasse hemicelluloses,  $78.2 \pm 0.0\%$ . The content of acid-soluble and insoluble lignin was  $1.9 \pm 0.0\%$  and  $20.1 \pm 0.1\%$ , respectively.

It is well known that the hydrolysis of polysaccharides, glucan, xylan, and arabinan from biomass, and the monosaccharides yield are directly affected by the process parameters such as temperature, time and acid concentration (pH). In a liquid hot water pretreatment, the catalyst is the hydronium ion produced in situ by autoionization of water and dissociation of acetic acid from acetyl groups in hemicelluloses. These sources of hydronium ions provided an enough low pH to allow the hydrolysis of xylan and arabinan in hemicelluloses. On the other hand, xylan and arabinan hydrolysis using liquid hot water pretreatment (LHW) associated with high pressure carbon dioxide (HP- $\text{CO}_2$ ) should not exhibit strong dependence on releasing of hydronium ions from autoionization and acetic acid from hemicelluloses, once dissolved in water carbon dioxide produces carbonic acid and the latter dissociates providing a new source of hydronium ions. Considering this framework the LHW-HP- $\text{CO}_2$  pretreatment conditions have to be optimized to take out the largest amount of sugars from hemicelluloses under the more feasible reaction conditions.

According to van Walsum (2001), McWilliams and van Walsum (2002), and van Walsum and Shi (2004) assumptions, the pH of a binary  $\text{CO}_2$ - $\text{H}_2\text{O}$  system is a strong function of both temperature and  $\text{CO}_2$  partial pressure and/or fugacity. From calculations and assumptions, these authors proposed a model to predict the pH of a binary  $\text{CO}_2$ - $\text{H}_2\text{O}$  system in a temperature range of 100–250  $^{\circ}\text{C}$  and pressures up to a  $\text{CO}_2$  partial pressure of 150 atm ( $\sim 15.2$  MPa). Eq. (4) was generated by fitting experimental data available in literature (van Walsum, 2001) and is shown as follows:

$$\text{pH} = 8.00 \times 10^{-6} \times T^2 + 0.00209 \times T - 0.216 \times \ln(P_{\text{CO}_2}) + 3.92 \quad (4)$$

where  $T$  ( $^{\circ}\text{C}$ ) is the temperature and  $P$  (atm)  $\text{CO}_2$  partial pressure.

At 93.8 and 136.2  $^{\circ}\text{C}$ , the reactor containing depithed bagasse,  $\text{CO}_2$ , and  $\text{H}_2\text{O}$  reached pressures of 8.62 MPa (85.08 atm) and 12.96 MPa (127.92 atm), respectively. These experimental values of temperature and pressure were used to predict the pH of the system using Eq. (4). At 93.8 and 136.2  $^{\circ}\text{C}$  the predicted pH values were found to be 3.23 and 3.31. The pH values of the systems were measured using a pH meter after depressurization of the vessel and at 25  $^{\circ}\text{C}$ . They were found in a range of 3–3.6. These results clearly demonstrate that carbonic acid can generate a pH sufficiently low to allow the breakdown of hemicelluloses into soluble lower molar mass xylo-oligosaccharides and xylose. The values of predicted and measured pH found in this study are close to those predicted by van Walsum and Shi (2004) for hydrolysis of corn stover using carbonic acid (180  $^{\circ}\text{C}$ , 13.38 MPa, pH = 3.53). However, the experimental final pH (depressurized reactor at room temperature) of the hydrolysate found by van Walsum and Shi (2004) was 4.87 at 180  $^{\circ}\text{C}$  and 32 min of reaction. This value was not so close to those found in this study. According to van Walsum and Shi (2004) the explanation for this phenomenon is based on the composition of the corn stover (low acetyl content  $\sim 2.4$  wt%), which releases lower amount of organic acids to the hydrolysate. It was pointed out the buffering capacity of corn stover as affecting the final pH to higher values.

The severity of the operational conditions will define the proportion between oligosaccharides and monosaccharides and the amount of degradation products. The experiments on the LHW-HP- $\text{CO}_2$  pretreatment of depithed bagasse were performed to demonstrate the effectiveness of high pressure carbon dioxide combined with low temperatures to obtain a large range of hydrolysate composition.

Analysis of variance, ANOVA, was used as a statistical test to look for significant differences between means. According to

**Table 1**Composition of residual depithed sugarcane bagasse (wt%)<sup>a</sup> after liquid hot water pretreatment associated with HP-CO<sub>2</sub> (LHW-HP-CO<sub>2</sub>).

Experiment	T (°C) <sup>b</sup>	t (min) <sup>b</sup>	Weight loss (wt%)	Gln (wt%)	Xyn (wt%)	Arn (wt%)	Ac (wt%)	Lignin (wt%)	Xynr (wt%)	DeAc (wt%)
1	100(−1)	30(−1)	17.8	53.8 ± 0.7	16.8 ± 0.4	1.7 ± 0.0	2.3 ± 0.3	25.8 ± 0.4	45.0 ± 1.2	58.2 ± 4.7
2	100(−1)	90(+1)	22.3	54.5 ± 0.6	16.1 ± 0.1	1.7 ± 0.0	2.4 ± 0.1	25.2 ± 0.1	50.4 ± 0.2	59.5 ± 1.2
3	130(+1)	30(−1)	38.1	65.5 ± 1.8	4.4 ± 0.4	0.9 ± 0.1	0.8 ± 0.0	29.5 ± 0.5	89.1 ± 0.9	89.5 ± 0.6
4	130(+1)	90(+1)	39.5	64.5 ± 0.6	2.9 ± 0.0	0.9 ± 0.0	0.4 ± 0.0	31.8 ± 0.2	93.0 ± 0.0	94.6 ± 0.0
5	93.8 (−1.414)	60(0)	4.5	49.1 ± 0.4	21.1 ± 0.3	1.8 ± 0.1	3.1 ± 0.1	23.5 ± 0.4	20.0 ± 1.2	35.4 ± 2.5
6	136.2 (+1.414)	60(0)	38.0	68.1 ± 0.4	2.8 ± 0.2	0.9 ± 0.0	0.5 ± 0.1	30.4 ± 0.5	93.2 ± 0.4	93.2 ± 1.9
7	115(0)	17.6 (−1.414)	31.2	62.0 ± 1.6	10.9 ± 0.6	1.3 ± 0.0	1.5 ± 0.0	26.7 ± 0.1	70.2 ± 1.6	77.5 ± 0.1
8	115(0)	102.4 (+1.414)	32.9	64.1 ± 0.3	8.0 ± 0.3	1.1 ± 0.0	1.0 ± 0.0	26.6 ± 0.2	78.5 ± 0.8	84.6 ± 0.4
9 (Central)	115(0)	60(0)	34.8	66.1 ± 0.4	4.8 ± 0.0	0.8 ± 0.0	0.9 ± 0.0	28.7 ± 0.0	87.5 ± 0.1	86.9 ± 0.6
10 (Central)	115(0)	60(0)	35.2	65.0 ± 0.3	4.9 ± 0.0	0.9 ± 0.1	0.8 ± 0.0	28.6 ± 0.1	87.4 ± 0.0	89.0 ± 0.1
11 (Central)	115(0)	60(0)	34.1	64.2 ± 0.0	5.1 ± 0.0	0.9 ± 0.0	0.9 ± 0.0	28.6 ± 0.3	86.7 ± 0.1	87.5 ± 0.2

<sup>a</sup> Gln: glucan, Xyn: xylan, Arn: arabinan, Ac: acetyl, Xynr: xylan removal, and DeAc: deacetylation.<sup>b</sup> Value of coded variable levels in parenthesis.

the analysis of variance (ANOVA), the temperature exhibited the most significant effect on promoting weight loss. Table 1 shows the weight losses of residual depithed bagasse after LHW-HP-CO<sub>2</sub> pretreatment as well as the remaining glucan (Gln), xylan (Xyn), arabinan (Arn), acetyl (Ac), lignin, and xylan removal (Xynr) and degree of deacetylation (DeAc), in percentage, for each experiment. Table 2 shows the chemical composition of the hydrolysates (in g/L and wt%) in terms of oligomers, sugars, sugar degradation products, and acetic acid for the different experimental conditions adopted. As can be seen in Table 1, a significant weight loss (average value of 34.7%) with high xylose and low xylan concentrations (average values of 8.49 and 1.48 g/L from Table 2, respectively) was achieved when the depithed bagasse was pretreated at 115 °C for 60 min (central run). This significant weight loss was also followed by high xylan removal (Xynr) and degree of deacetylation (DeAc) of 87.19% and 87.82%, respectively. In lower temperatures and longer times such as 100 °C for 90 min, an intermediate weight loss (22.3%) was accompanied by values of Xyl, Xyn, Xynr and DeAc of 1.42 g/L, 8.92 g/L, 50.4% and 59.9%, respectively. In higher temperatures and shorter times such as 130 °C and 30 min, similar weight loss to 115 °C and 60 min was noticed as well as Gln, Xyn, and Arn contents and Xynr and DeAc percentages. However lower values of Xyl and Xyn (g/L) were noticed due to the sugar degradation promoted by the higher temperature applied. In general, it is possible to emphasize that the LHW-HP-CO<sub>2</sub> pretreatment promoted high Xyn, Arn, and Ac extraction as well as high degrees of deacetylation. Furthermore the contents of Gln indicated that even in higher weight losses the content of cellulose was preserved as will be discussed in detail later.

In Table 2 is possible to see that at lower temperatures such as 100 °C, the amounts of degradation sugar products in the hydrolysate were lower, varying from 0.22 to 0.24 wt% for furfural, depending on the time. Increasing the temperature to 115 °C, the amounts of degradation products substantially increased as expected since the dehydration of glucose, xylose and arabinose is strongly dependent on the reaction temperature. Acetic acid concentration varied from 0.42 (93.8 °C) to 5.03 wt% (136.2 °C), which represents 35.4% and 93.2% of deacetylation of depithed bagasse, respectively. In comparison with reported data for LHW pretreatment of sugarcane bagasse carried out by Vallejos et al. (2012), LHW-HP-CO<sub>2</sub> allows to higher deacetylation of bagasse, which probably enhanced the hemicelluloses extraction and consequently the xylose release.

As can be seen in Table 2, the contents of glucose and glucan in the hydrolysate were lower, varying from 0.08 to 1.52 wt% and 0.0 to 0.89 wt%, respectively. In addition, the content of residual cellulose after LHW-HP-CO<sub>2</sub> pretreatment varied from 89.9% to 100%. The lower content of residual cellulose was observed at 130 °C for 90 min (89.9%) and the best cellulose preservation was

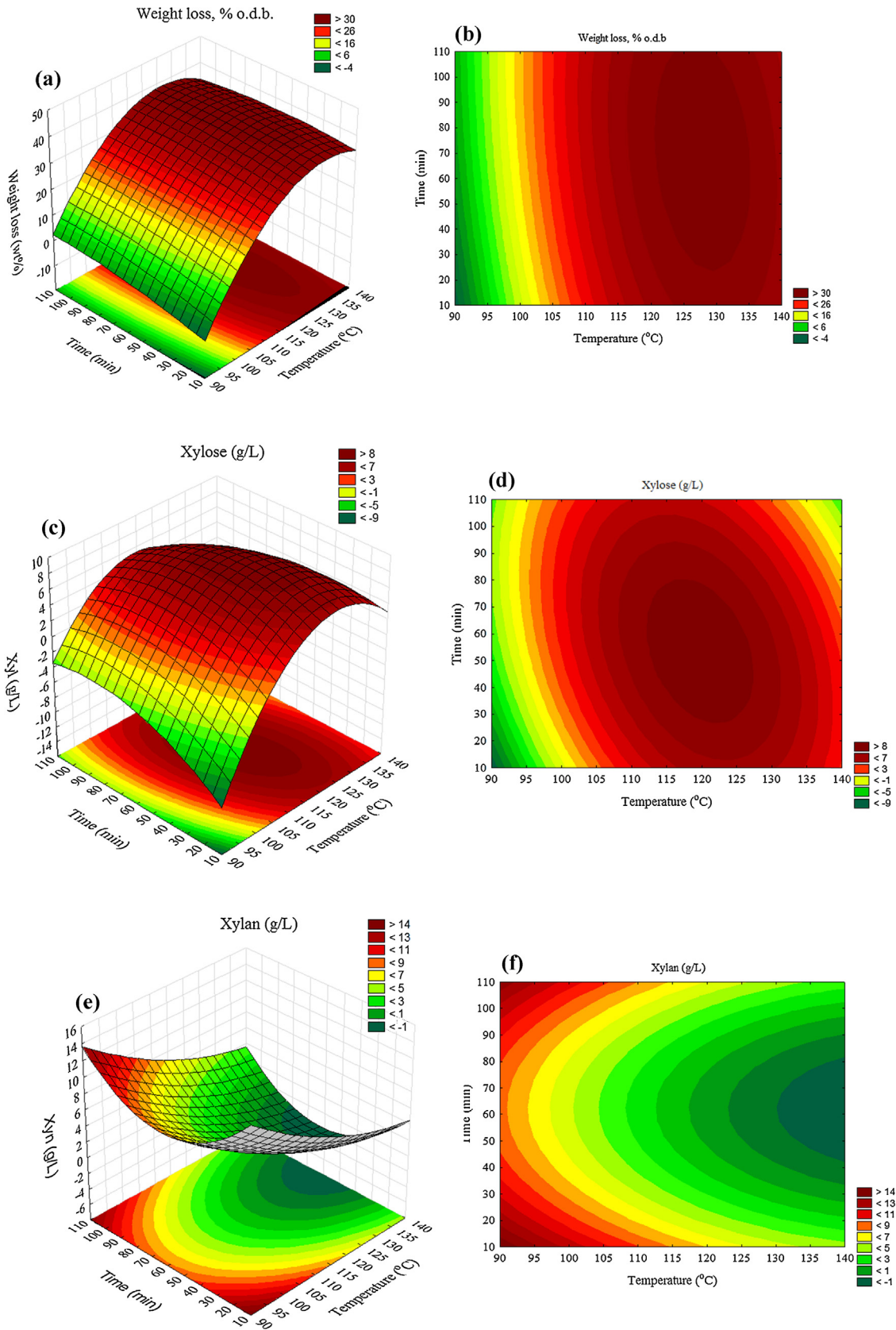
attained at 100 °C for 30 min (100%). These results demonstrated that LHW-HP-CO<sub>2</sub> can extract hemicelluloses from depithed sugarcane bagasse without degrading the cellulose fraction. For the central run (115 °C for 60 min), the content of residual cellulose was 97.2%. These results clearly showed that cellulose was not affected by the LHW-HP-CO<sub>2</sub> pretreatment when the operation conditions were high temperatures combined with shorter times and/or low temperatures combined with shorter and/or longer times (Tables 1 and 2).

The values of delignification varied from 0% (93.8 °C for 60 min) to 18.9% (115 °C for 102.4 min). In general, the delignification increased as the operational conditions became more severe, i.e. increasing the temperature or time. These results suggested that the decreasing the pH caused by dissociation of carbonic acid in water combined with the release of acetic acid from deacetylation of hemicelluloses is responsible for the break of ether linkages in lignin such as α-O-4 and β-O-4 (Novo et al., 2011; Pasquini et al., 2005). The lignin hydrolysis during LHW-HP-CO<sub>2</sub> pretreatment can generate phenolic derivative compounds in the hydrolysate, which could inhibit the fermentation process (Palmqvist and Hagerdal, 2000a,b; Vallejos et al., 2012).

The regression coefficients were obtained from the regression model. This model was generated in terms of coded variable levels (−1, 0, +1, and ±1.414). The regression coefficients for each variable are show in Table 3, where Xyl, Xyn, TXyn, AAC, Xyn+Ara, and Glu are xylose, xylan, total xylan, acetic acid, xylose plus arabinose, and glucose concentrations (g/L) and contents (wt%) in the hydrolysate with respect to initial depithed bagasse. As can be seen in Table 3, the values of determination coefficients (R<sup>2</sup>) varied from 0.8289 to 0.9942, which indicates that the experimental data was well fitted by the proposed model.

The effects of the reaction temperature and time of LHW-HP-CO<sub>2</sub> on xylose (Xyl), xylan (Xyn), and furfural (Fur) concentrations were studied in detail. The results are presented in Table 4. As can be seen in Table 4, the concentration of xylose was strongly dependent on the temperature. The linear and quadratic effects and interactions had a significant effect (*p* < 0.05) with exception of the linear time, which was not significant. On the other hand, xylan (Xyn) and furfural (Fur) concentration depended on the linear temperature and quadratic time (*p* < 0.05).

The variations of the xylose and xylan concentrations (g/L) and weight loss (wt%) as a function of temperature and time were plotted in the form of response surfaces and dependence contour lines as can be seen in Fig. 1. The chemical compositions of the hydrolysates at different experimental conditions are shown in Table 2. As can be seen in Table 2, the maximum xylose concentration was achieved in the central run at 115 °C for 60 min with an average value of 8.49 g/L (triplicate mean). On the other hand, the maximum concentration of xylan was attained at 100 °C



**Fig. 1.** Fitted response surface and contour lines plot for weight loss percentage (a) and (b), xylose concentration in the hydrolysate (c) and (d) and xylan concentration in the hydrolysate (e) and (f) as a function of temperature and time.

**Table 2**Chemical composition of the hydrolysates<sup>a</sup> in g/L and wt% obtained in the liquid hot water pretreatment associated with HP-CO<sub>2</sub> (LHW-HP-CO<sub>2</sub>).

Experiment	<i>T</i> (°C) <sup>b</sup>	<i>t</i> (min) <sup>b</sup>	<i>Xyl</i> (g/L)	<i>Xyn</i> (g/L)	<i>TXyn</i> (g/L)	<i>Glu</i> (wt%)	<i>Xyl</i> (wt%)	<i>Ara</i> (wt%)	<i>AAC</i> (wt%)	<i>Gln</i> (wt%)	<i>Xyn</i> (wt%)	<i>Arn</i> (wt%)	<i>HMF</i> (wt%)	<i>Fur</i> (wt%)
1	100(−1)	30(−1)	1.29	9.21	10.34	0.08	1.55	1.07	0.76	0.55	11.03	1.07	0.01	0.22
2	100(−1)	90(+1)	1.42	8.92	10.18	0.08	1.71	1.11	0.91	0.53	10.69	1.11	0.01	0.24
3	130(+1)	30(−1)	7.89	0.19	7.13	1.23	9.46	0.82	4.30	0.45	0.23	0.82	0.30	4.78
4	130(+1)	90(+1)	3.25	n.d.	2.86	2.25	3.89	0.42	5.03	n.d.	n.d.	0.42	0.79	5.89
5	93.8(−1.414)	60(0)	0.44	4.79	5.16	0.10	0.53	0.86	0.42	0.57	5.71	0.86	n.d.	0.06
6	136.2(+1.414)	60(0)	4.73	n.d.	4.16	1.52	5.67	0.54	4.88	n.d.	n.d.	0.54	0.49	6.67
7	115(0)	17.6(−1.414)	5.68	6.14	11.13	0.28	6.80	1.25	2.28	0.89	7.35	1.25	0.07	1.53
8	115(0)	102.4(+1.414)	8.36	4.71	12.07	0.33	10.01	1.19	2.74	0.80	5.65	1.19	0.07	2.06
9 (Central)	115(0)	60(0)	8.24	2.05	9.30	0.54	9.87	0.84	3.60	0.81	2.45	0.84	0.18	3.40
10 (Central)	115(0)	60(0)	8.67	1.26	8.90	1.01	10.39	0.91	4.04	0.51	1.51	0.91	0.23	3.89
11 (Central)	115(0)	60(0)	8.57	1.14	8.68	0.94	10.28	0.93	3.70	0.51	1.36	0.93	0.20	3.61

<sup>a</sup> *Xyl*: xylose, *Xyn*: xylan, *TXyn*: total xylan (0.88*Xyl* + *Xyn*), *Glu*: glucose, *AAC*: acetic acid, *Ara*: arabinose, *Arn*: arabinan, *Gln*: glucan, *HMF*: 5-hydroxymethyl-2-furfuraldehyde, *Fur*: furfural, and n.d.: no detected.<sup>b</sup> Value of coded factor level in parenthesis.**Table 3**Regression coefficients in terms of coded variable levels and coefficients of determination for the models for each dependent variable<sup>a</sup> analyzed.

Regression coefficients	Weight loss (wt%)	<i>Xyl</i> (g/L)	<i>Xyn</i> (g/L)	<i>TXyn</i> (g/L)	<i>AAC</i> (g/L)	<i>Xyl</i> + <i>Ara</i> (g/L)	<i>Glu</i> (g/L)	<i>Fur</i> (g/L)	<i>Xynr</i> (wt%)	<i>DeAc</i> (wt%)	<i>Xyl</i> (wt%)	<i>Xyn</i> (wt%)	<i>TXyn</i> (wt%)
<i>a</i> <sub>0</sub>	34.71	8.4953	1.4829	8.9588	3.1568	9.2386	0.6923	3.0315	87.1929	87.8174	10.1798	1.7767	10.7331
<i>a</i> <sub>1</sub>	21.1818	3.6198	−6.1705	−2.9851	2.9113	3.3322	1.1078	4.0717	47.52	37.0395	4.3417	−7.3936	−3.5762
<i>a</i> <sub>2</sub>	−12.065	−6.5787	1.2399	−4.5493	−0.8746	−6.7847	0.1296	−0.1448	−28.6601	−22.1486	−7.8797	1.4864	−5.4503
<i>a</i> <sub>3</sub>	2.0694	−0.1793	−0.6223	−0.78	0.3192	−0.2709	0.2297	0.3971	5.2789	4.1189	−0.2169	−0.7449	−0.9345
<i>a</i> <sub>4</sub>	−1.26	−2.1477	4.2798	2.3898	−0.9941	−1.9161	−0.2907	−1.4508	−10.8795	−5.3866	−2.5748	5.1269	2.8631
<i>a</i> <sub>5</sub>	−1.505	−2.386	0.0455	−2.0542	0.2439	−2.5675	0.4265	0.4665	−0.7273	1.8775	−2.8637	0.0541	−2.4611
<i>R</i> <sup>2</sup>	0.9742	0.8775	0.8555	0.8289	0.9858	0.8720	0.8580	0.9942	0.9886	0.9862	0.8774	0.8554	0.8289

<sup>a</sup> *Xyl*, *Xyn*, *TXyn*, *AAC*, *Xyl* + *Ara*, and *Glu* are xylose, xylan, total xylan, acetic acid, xylose plus arabinose, and glucose concentrations (g/L) and contents (wt%) in the hydrolysate, while *Xynr* and *DeAc* are xylose and acetyl groups removal (wt%) in respect to the initial depithed bagasse.

**Table 4**

Variance analysis of xylose, xylan, and furfural (concentration in g/L) for the proposed models.

Factor	SS <sup>a</sup>	DF <sup>b</sup>	MS <sup>c</sup>	F-value	p-value
<b>Xylose (Xyl, g/L)</b>					
T	26.2055	1	26.2055	521.883	0.0019
T <sup>2</sup>	61.1002	1	61.1002	1216.811	0.0008
t	0.0643	1	0.0643	1.280	0.3753
t <sup>2</sup>	6.5121	1	6.5122	129.689	0.0076
Tt	5.6930	1	5.6930	113.377	0.0087
Lack of fit	12.9062	3	4.3021	85.676	0.0116
Pure error	0.1004	2	0.0502		
Total SS	106.1396	10			
<b>Xylan (Xyn, g/L)</b>					
T	76.1492	1	76.1492	311.8563	0.0032
T <sup>2</sup>	2.1705	1	2.1705	8.8890	0.0965
t	0.7744	1	0.7744	3.1715	0.2169
t <sup>2</sup>	25.8586	1	25.8586	105.8995	0.0093
Tt	0.0021	1	0.0021	0.0085	0.9351
Lack of fit	16.8739	3	5.6246	23.0347	0.0419
Pure error	0.4884	2	0.2442		
Total SS	120.1471	10			
<b>Furfural (Fur, g/L)</b>					
T	33.1569	1	33.1569	791.5471	0.0013
T <sup>2</sup>	0.0296	1	0.0296	0.7068	0.4890
t	0.3153	1	0.3153	7.5270	0.1111
t <sup>2</sup>	2.9715	1	2.9715	70.9368	0.0138
Tt	0.2176	1	0.2176	5.1949	0.1503
Lack of fit	0.1309	3	0.0436	1.0416	0.5239
Pure error	0.0838	2	0.0419		
Total SS	36.9987	10			

<sup>a</sup> SS is the total sum of squares.

<sup>b</sup> DF is the number of degrees freedom.

<sup>c</sup> MS is the mean square.

for 30 min (9.21 g/L). As expected, lower temperatures and shorter times allowed extracting a high proportion of xylan to xylose from depithed bagasse, while higher temperatures and intermediate times allowed hydrolyzing the extracted xylan to xylose. If the dependent variable  $TXyn$  (total xylan, expressed as  $0.88Xyl + Xyn$ ) is considered, it is possible to suggest that both lower (100 °C) and higher (115 °C) temperatures can yield high concentrations of xylo-oligosaccharides and xylose from 10 to 12 g/L. Also as expected, at 130 and 136.2 °C all or almost all released xylan was hydrolyzed to xylose. [van Walsum and Shi \(2004\)](#) also noticed an increase in xylose release as severity of reaction was increased as a result of carbonic acid enhancement of hydrolysis of corn stover in comparison with LHW pretreatment alone. These authors also reported that in the presence of carbonic acid, as reaction severity increases, the average DP of xylo-oligosaccharides decreased. According to [van Walsum and Shi \(2004\)](#) conclusions, this result indicates the potential for enhanced pretreatment with carbon dioxide compared to LHW, because the former reduces the requirement for further hydrolysis of xylo-oligosaccharides prior to fermentation.

### 3.2. Effect of pretreatment temperature and time on enzymatic hydrolysis

As LHW-HP-CO<sub>2</sub> pretreatment proved to be effective in the extraction of hemicelluloses from depithed bagasse tests to evaluate the recalcitrance of some samples of pretreated depithed bagasse to enzymatic hydrolysis were performed. In order to analyze simultaneously the effect of temperature and time on enzymatic digestibility of samples of pretreated depithed bagasse, the following operational conditions were chosen: 93.8 °C, 115 °C, and 136.2 °C for 60 min and 115 °C for 17.6, 60, and 102.4 min. The obtained results are shown in [Table 5](#). As can be seen in [Table 5](#), glucose concentration and cellulose conversion (CC) increased from 11.16 to 32.54 g/L and from 20.2 to 42.8% as temperature was increased from 93.8 °C to 136.2 °C for a fixed reaction time

**Table 5**

Effect of LHW-HP-CO<sub>2</sub> pretreatment on the enzymatic digestibility of pretreated bagasse.

Sample	T (°C)	t (min)	Glu (g/L)	CC (%) <sup>a</sup>
Depithed bagasse	–	–	4.61	9.54
5	93.8 (–1.414)	60(0)	11.16	20.2
6	136.2 (+1.414)	60(0)	32.54	42.8
7	115(0)	17.6 (–1.414)	22.25	32.1
8	115(0)	102.4 (+1.414)	29.81	41.7
9	115(0)	60(0)	30.43	41.2

<sup>a</sup> CC is the cellulose conversion. CC was calculated according to Eq. (3).

of 60 min, respectively. In addition, glucose concentration also increased from 22.25 to 29.81 g/L as time was increased from 17.6 to 102.4 min for a fixed reaction temperature of 115 °C. These results clearly show that the temperature had a higher effect on glucose concentration than time.

[Gao et al. \(2010\)](#) also observed an increase in the glucose yield with increasing the temperature or duration of supercritical carbon dioxide (SC-CO<sub>2</sub>) pretreatment for rice straw in operational conditions ranging from 10 to 30 MPa, 40 to 110 °C, and 15 to 45 min. The maximum glucose yield reported was 32.4% (w/w) at 30 MPa, 110 °C for 30 min against 27.7% for untreated rice straw. [Kim and Hong \(2001\)](#) also reported an increase in glucose yield with temperature and time in conditions varying from 3100 to 4000 psi (21.37–27.58 MPa), 112 to 165 °C and 10 to 60 min. The maximum glucose yield reported was 84.7% (w/w) for Aspen (hardwood) and 27.3% (w/w) for southern yellow pine (softwood). In addition, [Kim and Hong \(2001\)](#) suggested that the higher glucose yield in SC-CO<sub>2</sub> than hydrothermal pretreatment may have been caused by the synergistic effect of SC-CO<sub>2</sub> and water inside lignocellulosic complex. These authors pointed out that carbonic acid formed by interaction of CO<sub>2</sub> and water hydrolyzed the hemicelluloses, and the hemicelluloses removal is generally considered to be a key factor in lignocellulosic treatment due to it increasing the porosity of the solid. In fact to achieve similar values of cellulose conversion, [Rocha et al. \(2013\)](#) have to treat sugarcane bagasse with liquid hot water at 185 °C for 10 min. These authors reported a glucose yield of 42.5 g/L and a cellulose conversion of 40.8%.

In LHW-HP-CO<sub>2</sub> pretreatment of depithed bagasse the maximum xylose concentration was achieved at 115 °C for 60 min (8.49 g/L). This operational condition also proportioned a higher concentration of glucose after enzymatic hydrolysis, 30.43 g/L, which was very close to that obtained at 136.2 °C for 60 min (32.54 g/L). These findings make possible to take advantage of a new combined process of extraction of hemicelluloses and enzymatic saccharification of cellulose using CO<sub>2</sub> as a single agent for pretreatment and saccharification. This finding will probably improve the overall economic feasibility of the process in a lignocellulosic biorefinery (LCF-biorefinery) since CO<sub>2</sub> is considered as a green solvent, non-corrosive and can be easily recovered and recycled.

## 4. Conclusions

The values of  $R^2$  (0.8289–0.9942) indicated a suitable fit between the experimental data and model (CCD). LHW-HP-CO<sub>2</sub> promoted a higher degree of deacetylation in comparison with LHW alone ([Vallejos et al., 2012](#)), which increased the acidity and allowed a higher hemicelluloses extraction. As expected  $Xyn$ ,  $Xyl$  and  $Fur$  concentrations were strongly dependent on the reaction temperature and time. The pretreatments performed yielded at least two operational conditions that can be useful in processes were high concentrations of xylose and/or xylan are required (100 °C for 90 min and 115 °C for 60 min yielded  $Xyl$  and  $Xyn$  concentrations of 1.42 and 9.82 g/L and 8.49 and 1.48 g/L, respectively) without the drawbacks of a process employing mineral acids and



with the benefit of using reduced temperatures in comparison with LHW alone. The contents of residual cellulose in the pretreatments also proved that cellulose was not affected by LHW-HP-CO<sub>2</sub>. Furthermore the results of enzymatic hydrolysis of residues from LHW-HP-CO<sub>2</sub> pretreatment showed that cellulose conversions in the vicinity of 42% can be attained at lower temperatures ( $\leq 115$  °C) in comparison with LHW alone.

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